#### Johannes von Oswald

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I am a senior research scientist at Google Research working with Blaise Agüera y Arcas and João Sacramento at the Paradigms of Intelligence Team. Until 2023, I was a PhD student supervised by Angelika Steger and João Sacramento at the Institute of Theoretical Computer Science, ETH Zurich. My research focuses on how and what machines, in particular neural networks, learn from data. One important goal is to allow these learning algorithms to generalize broadly and solve novel complex tasks. Therefore, I am heavily inspired by (meta-) learning within a large, possibly open-ended, environment. Currently, I am working on mesa-optimization: the emergence of algorithms within neural networks, in paper link 1 and paper link 2 we showed that gradient descent is a mechanism learned by pure self-supervised learning which allows transformers to learn and generalize at test time to novel data provided in-context. I am currently working on incorporating these findings into large language models.

#### Education

**ETH Zurich** Zurich | 2018 - 2023

PhD Student at the Institute of Theoretical Computer Science

Advisor: Prof. Angelika Steger & Dr. João Sacramento

Deep learning, in particular continual, meta and mesa-optimization.

#### Technical University Munich

Munich | 2014 - 2017

Master in Mathematics

Advisor: Prof. Daniel Cremers

Thesis: Bayesian Optimal Flow Prediction with Neural Networks

I studied mathematics with a minor in computer science and focused on computer

vision, inverse problems and dynamical systems.

ETH Zurich | Summer 2016

Visiting student under the Swiss-European Mobility Programme.

I worked at the Institute for Visual Computing of Prof. Marc Pollefeys on neural networks based object recognition incorporating depth information.

#### Hongkong University of Science and Technology

Hongkong | Autumn 2015

TU Munich exchange program. HKUST Dean's List for outstanding attainment.

#### Technical University Berlin

Berlin | 2011 - 2014

Bachelor in Mathematics Advisor: Prof. Yuri Suris

Thesis: Special Solutions of the N-body Problem.

I studied mathematics with a minor in physics and a focus on differential geometry

and mathematical physics.

### Fellowships & Awards

Swiss Data Science Center Fellowship ( $\approx$ CHF 200.000 - 4 years of PhD salary)	2019 - 2023
Google Cloud Research Grant (\$ 5000)	2021
ZNZ Travel Grant (CHF 1000)	2021
Pi School of Artificial Intelligence (EUR 2000)	2018

#### Tasks & Poster Presentations

ASAP Seminar - MesaNet: Sequence Modeling by Locally Optimal Test-Time Training   virtual	June 2025
Oral presentation at ICLR - Learning Randomized Algorithms with Transformers   Singapore	April 2025
Talk at the Helmholtz-ELLIS Workshop on Foundation Models in Science on modern RNNs   Berlin	March 2025
Tutorial at CoLLAs 2024 on the mechanics of in-context learning   Pisa	July 2024
Talk at the Alignment Workshop - Mechanistic Interpretability of in-context learning   New Orleans	Dec 2023
Talk at Mila, Montreal/ServiceNow - Uncovering mesa-opt. algorithms in Transformers   virtual	Oct 2023
Talk at ChatGPT Zurich Group - In-context learning in large language models   Zurich	Sep $2023$
Oral presentation at NeurIPS - Transformers learn in-context by gradient descent   Hawaii	July 2023
Talk at SDSC - On Transformers and how they can learn in-context   Zurich	April 2023
Talk at Google Brain, San Francisco - On Transformers and how they can learn in-context   virtual	Feb 2023
Talk at Jagiellonian University, Krakau - Transformers learn in-context by gradient descent   virtual	$\mathrm{Jan}\ 2023$
Talk at Sapienza University, Rome - Transformers learn in-context by gradient descent   virtual	Jan 2023
Talk at Google DeepMind - Transformers learn in-context by gradient descent   virtual	Dec 2022
Talk at Google DeepMind - On HyperNetworks and the prefrontal cortex   London	Aug~2022
Poster presentation at NeurIPS - Learning where to learn   Offsite at EPFL	Dec 2021
Poster presentation at ICML - Meta-learning via hypernetworks   virtual	July 2021
Talk at the Swiss Data Science Center - Hypernetworks for sparse and continuous data   virtual	Aug 2020
Poster presentation at ICLR - Continual learning with hypernetworks   virtual	June 2020
Spotlight poster presentation at NeurIPS - On adversarially-trained hypernetworks   Montreal	$\mathrm{Dec}\ 2018$

## Selected Publications & Preprints

(\* first author)

## Teaching Experience (TA)

Algorithms and complexity
Algorithms and probability
Learning in deep artificial and biological neuronal networks

 $<sup>^1</sup>$ J. von Oswald, S. Kobayashi, Y. Akram, and A. Steger, "Learning randomized algorithms with transformers", in ICLR - Oral presentation (2025).

<sup>&</sup>lt;sup>2</sup>J. von Oswald, N. Scherrer, S. Kobayashi, L. Versari, S. Yang, M. S. et al., B. A. y Arcas, and J. Sacramento, "Mesanet: sequence modeling by locally optimal test-time training", arXiv (2025).

<sup>&</sup>lt;sup>3</sup>S. Kobayashi, Y. Akram, and J. V. Oswald, "Weight decay induces low-rank attention layers", in NeurIPS (2024).

<sup>&</sup>lt;sup>4</sup>J. von Oswald, E. Niklasson, E. Randazzo, J. Sacramento, A. Mordvintsev, A. Zhmoginov, and M. Vladymyrov, "Transformers learn in-context by gradient descent", in ICML - Oral presentation (2023).

<sup>&</sup>lt;sup>5</sup>J. von Oswald, M. Schlegel, A. Meulemans, S. Kobayashi, E. Niklasson, et al., R. Pascanu, and J. Sacramento, "Uncovering mesa-optimization algorithms in transformers", arXiv (2023).

<sup>&</sup>lt;sup>6</sup>A. Meulemans, N. Zucchet, S. Kobayashi, J. von Oswald, and J. Sacramento, "The least-control principle for learning at equilibrium", in NeurIPS - Oral presentation (2022).

<sup>&</sup>lt;sup>7</sup>N. Zucchet, S. Schug, J. von Oswald\*, D. Zhao, and J. Sacramento, "A contrastive rule for meta-learning", in NeurIPS (2022).

<sup>&</sup>lt;sup>8</sup>J. von Oswald, S. Kobayashi, and et al., "Neural networks with late-phase weights", in ICLR (2021).

<sup>&</sup>lt;sup>9</sup>J. von Oswald, D. Zhao, S. Kobayashi, S. Schug, M. Caccia, N. Zucchet, and J. Sacramento, "Learning where to learn: Gradient sparsity in meta and continual learning", in NeurIPS (2021).

<sup>&</sup>lt;sup>10</sup>J. von Oswald, C. Henning, B. F. Grewe, and J. Sacramento, "Continual learning with hypernetworks", in ICLR -Spotlight presentation (2020).

## Supervision

Julius Schulte (PhD Thesis) - Weight averaging through HyperNetworks

Oliver Sieberling & Yanick Schimpf (Deep learning project) - Swiss deep delta net

Vinay Hiremath (Master thesis) - Differentiable gated linear networks

Levan Varamashvili (Master thesis) - On how Transformers invert matrices

Laurent Verdan (Bachelor thesis) - Grokking beyond simple addition

Maximilian Schlegel (Bachelor thesis) - Transformers solve linear autoregressive tasks by gradient descent

Damian Cordes (Bachelor thesis) - On sparse continual meta-learning

Yuchen Chang (Bachelor thesis) - Understanding warm starting with the neural tangent kernel

## Reviewing / Scientific Services

Area Chair - Neural Information Processing Systems (NeurIPS)	2025
Reviewer - Neural Information Processing Systems (NeurIPS), Top Reviewer in 2023	2021-2025
Reviewer - Conference on Lifelong Learning Agents (CoLLAs)	2023
Reviewer - International Conference on Machine Learning (ICML)	2021-2025
Reviewer - Transactions on Machine Learning Research (TMLR)	2022-2024
Reviewer - International Conference on Learning Representations (ICLR)	2021-2025
Reviewer - Workshop on Continual Learning in Computer Vision (CVPR)	2020 & 2021

## Industry & Working Experience (Selection)

Google Research	- Senior Research Scientist	Zurich   $2025$ - today

Research on sequence modeling and large language models.

### Google Research - Research Scientist Zurich | 2023 - 2025

Research on sequence modeling, transformers, reinforcement learning and mechanistic interpretability of deep learning models.

### Google Research - PhD Intern at Google Research Seattle | Summer 2023

Internship at Google in Seattle supervised by Max Vladymyrov.

## Google Research - Research student at Google Research Zurich | Autumn 2022

Research student at Google Zurich supervised by Alexander Mordvintsev.

#### Pi School - School of Artificial Intelligence Rome | Summer 2018

Awardee of a Pi school scholarship for an eight weeks hands-on machine learning course for industry applications.

## Celonis - Business Process Mining Munich | Summer 2015

Intern and working student developing tools for automated process creation for SAP databases.

Nice Bar - Bar

Berlin & Brussels | 2011 - 2013

Co-Owner and Barkeeper.

# Ronzino von Oswald SA - Textile Production Berlin & Porto | 2010 - today

Co-founder and board advisor of textile production and sourcing company based in Berlin and Porto, Portugal.